

PHA Plans

5 Year Plan for Fiscal Years 2000 - 2004
Annual Plan for Fiscal Year 2001

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

PHA Plan Agency Identification

PHA Name: Housing Authority of Hopkinsville

PHA Number: KY 011

PHA Fiscal Year Beginning: 07/2001

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2000 - 2004

[24 CFR Part 903.5] ***NO CHANGE FROM LAST YEAR, THUS NOT
REPEATED/COMPLETED***

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☐ The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☐ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☐ Apply for additional rental vouchers:
 - ☐ Reduce public housing vacancies:
 - ☐ Leverage private or other public funds to create additional housing opportunities:
 - ☐ Acquire or build units or developments
 - ☐ Other (list below)
- ☐ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☐ Improve public housing management: (PHAS score)
 - ☐ Improve voucher management: (SEMAP score)
 - ☐ Increase customer satisfaction:

- ☐ Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
- ☐ Renovate or modernize public housing units:
- ☐ Demolish or dispose of obsolete public housing:
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

- ☐ PHA Goal: Increase assisted housing choices

Objectives:

- ☐ Provide voucher mobility counseling:
- ☐ Conduct outreach efforts to potential voucher landlords
- ☐ Increase voucher payment standards
- ☐ Implement voucher homeownership program:
- ☐ Implement public housing or other homeownership programs:
- ☐ Implement public housing site-based waiting lists:
- ☐ Convert public housing to vouchers:
- ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☐ PHA Goal: Provide an improved living environment

Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- ☐ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☐ Increase the number and percentage of employed persons in assisted families:

- ☐ Provide or attract supportive services to improve assistance recipients' employability:
- ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☐ PHA Goal: Ensure equal opportunity and affirmatively further fair housing Objectives:
 - ☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

Annual PHA Plan PHA Fiscal Year 2001

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
☐ **Small Agency (<250 Public Housing Units)**
☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Admissions Policy for Deconcentration (Hard Copy submission)
- ☒ FY 2000 Capital Fund Program Annual Statement (Included)
- ☐ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- ☐ PHA Management Organizational Chart
- ☒ FY 2000 Capital Fund Program 5 Year Action Plan (Includede)
- ☒ Public Housing Drug Elimination Program (PHDEP) Plan (KY11a01)
- ☐ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☒ Other (List below, providing each attachment name)
CGA FFY1999 and CFP 2000 Capital Performance & Evaluation Rpts.

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
X	Other supporting documents (optional) Community Service requirements implementation plan, Report on the First Year Plans	Annual Plan: Notice 2000-43

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by

completing the following table. In the “Overall” Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being “no impact” and 5 being “severe impact.” Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	1,661	4	4	3	3	3	2
Income >30% but <=50% of AMI	1,142	4	3	3	3	2	2
Income >50% but <80% of AMI	2,793	3	2	2	3	2	2
Elderly	804	4	3	3	3	3	4
Families with Disabilities	Un- Known						
Black, non-hispanic	3,145	5	4	3	3	3	2
Hispanic	362	4	4	3	3	2	2
Race/Ethnicity							

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s City of Hopkinsville
Indicate year: 1995
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/> Section 8 tenant-based assistance			
<input type="checkbox"/> Public Housing			
<input checked="" type="checkbox"/> Combined Section 8 and Public Housing			
<input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional)			
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	384		132
Extremely low income <=30% AMI	249	64.8	
Very low income (>30% but <=50% AMI)	122	31.8	
Low income (>50% but <80% AMI)	13	3.4	
Families with children	266	69.3	
Elderly families	58	15.1	
Families with Disabilities			
Black, non-hispanic	245	63.8	
Hispanic	4	1.0	
Pacific Island/Asian	1	.3	
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR & efficiencies	175	45.6	39
2 BR	134	34.9	57
3 BR	64	16.7	32
4 BR	9	2.3	3
5 BR	2	.5	1
5+ BR	None		N/A

Housing Needs of Families on the Waiting List
<p>Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>If yes:</p> <p>How long has it been closed (# of months)?</p> <p>Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes</p> <p>Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes</p>

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☐ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☐ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☐ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☐ Apply for additional section 8 units should they become available

- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☐ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☐ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance

- ☒ Results of consultation with local or state government
☒ Results of consultation with residents and the Resident Advisory Board
☐ Results of consultation with advocacy groups
☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2000 grants)		
a) Public Housing Operating Fund	\$ 398,600.	Operations
b) Public Housing Capital Fund	\$ 911,100.	Operations & CFP
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,568,900.	Operations-Section 8
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$ 105,200.	PHDEP
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
PHDEPs	\$ 130,200.	PHDEPs
EDSS	\$ 20,000.	EDSS
CGP/CFPs	\$1,020,200.	CFP/CGP
ROSSs	\$ 182,900.	ROSSs
3. Public Housing Dwelling Rental Income		
Rental Income	\$1,019,500.	Operations

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
4. Other income (list below)		
Interest on General Fund Investments	\$ 10,700.	Operations
Other Income	\$ 27,000.	Operations
4. Non-federal sources (list below)		
Draw against Section 8 Reserve	\$ 7,700.	Operations
Total resources	\$5,402,000.	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number)
- ☒ When families are within a certain time of being offered a unit: (state time) 3 months prior to estimated time of being offered a unit.
- ☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☐ Other (describe)

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

- e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

- a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
☐ Sub-jurisdictional lists
☐ Site-based waiting lists
☐ Other (describe)

- b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
☐ PHA development site management office
☐ Other (list below)

- c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**
Not Applicable, no site-based waiting list use contemplated.

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One
☐ Two
☐ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types? ONLY one (Community wide) list maintained.

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☒ Emergencies
☒ Overhoused
☒ Underhoused
☒ Medical justification
☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☒ Other: (list below) If & when it becomes appropriate to consider transfers to be held to facilitate the deconcentration of certain projects, this aspect of the transfer policy will be used.

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing

- ☐ Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☒ Residents who live and/or work in the jurisdiction
- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes

☒ Other preference(s) (list below) The total hierarchy of selection preferences in ORDER is: 1) Those who are displaced, 15 points. 2) Those who draw SS, SSI or VA, Unemployment or Workmen's Compensation 10 points. 3) Those who have been working for at least 6 months, 4 to 10 points based on the number of hours worked weekly. 4) Those who attend higher educational and/or adult education programs, 5 to 8 points based on number of program hours. 5) Those who are veterans, or who have moved into Christian County for work or who are residents of supportive housing programs/facilities, 5 points.

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☒ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.

b. ☒ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any) [see step 4 at §903.2(c)(1)(iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2(c)(1)(v)]
Rozelle Village, KY 11-09	20	None	Will “skip over” higher income (51-80%) applicants in selection of new residents for this project.
Owens Homes, KY 11-10	20	None	Ditto for KY 11-09

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Eligibility

- a. What is the extent of screening conducted by the PHA? (select all that apply)
- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)
- b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?
- c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?
- d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)
- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☒ Criminal or drug-related activity
☐ Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)
☒ None, HOWEVER FOR THE PURPOSES OF PRESENTING A WAITING LIST IN SECTION 1.B. ABOVE, THE TWO PROGRAM LISTS WERE COMBINED.
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program
☐ Other federal or local program (list below)
- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)
☒ PHA main administrative office
☐ Other (list below)

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: Where the voucher holder request an extension in writing, documenting the efforts he/she has made thus far. Extensions are limited to a total of 120 days from the date of the initial voucher issuance.

(4) Admissions Preferences

- a. Income targeting
☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?
- b. Preferences
 1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☒ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)

The Section 8 selection preferences, in toto, are essentially the same as those used in the Public Housing program, with two exceptions. First, the Section 8 Program does not give any selection preference for those who are displaced. Secondly, it does not assign "points" within its selection preference categories as is used in the Public Housing Program.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence
- Substandard housing
- Homelessness
- High rent burden

Other preferences (select all that apply)

- ☒ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction

- ☒ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below) SEE 2. "Other Preferences" above for the explanation of the preference order. And from there see 3. A. (Public Housing) 4. "other preferences" above for the complete and sequenced list. Keep in mind that in the Section 8 program the same "preferences" are used except no consideration is given for "displacement". And "points", within any particular preference, are not awarded. Thus for example, someone in a training/educational program of 6 hours would receive the same consideration as some one in a 12 hour course program.

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☒ Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- ☒ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- ☒ The Section 8 Administrative Plan
- ☒ Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- ☐ Through published notices

- ☒ Other (list below) The HA of Hopkinsville (HAH) works with and through the Pennyrile Mental Health Center and local Spouse Abuse Agency who take the lead in providing a list of qualifying applicants. In regard to HAH's Welfare to Work Program, such applicants are pulled (extracted) from HAH's existing waiting list. When this list doesn't supply the number and/or type of applicants necessary to operate this program, HAH uses the news media (radio, TV and newspapers) to solicit other qualified applicants/participants.

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☐ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☐ \$1-\$25
☒ \$26-\$50

2. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?
2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:
- d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply) **NOT APPLICABLE**
 - ☐ For the earned income of a previously unemployed household member
 - ☐ For increases in earned income
 - ☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:
 - ☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:
 - ☐ For household heads
 - ☐ For other family members
 - ☐ For transportation expenses
 - ☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
 - ☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)
 - ☒ Yes for all developments
 - ☐ Yes but only for some developments
 - ☐ No
2. For which kinds of developments are ceiling rents in place? (select all that apply)
 - ☒ For all developments
 - ☐ For all general occupancy developments (not elderly or disabled or elderly only)
 - ☐ For specified general occupancy developments
 - ☐ For certain parts of developments; e.g., the high-rise portion
 - ☐ For certain size units; e.g., larger bedroom sizes
 - ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☒ Market comparability study
- ☒ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☒ The “rental value” of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- ☐ Other (list below)

- g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☒ The section 8 rent reasonableness study of comparable housing
- ☐ Survey of rents listed in local newspaper
- ☐ Survey of similar unassisted units in the neighborhood
- ☐ Other (list/describe below) The HAH has adopted the same definition for Flat Rents as it has for Ceiling Rents, thus the same criteria used for Ceiling Rents also applies here.

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☒ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☒ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☒ Reflects market or submarket
- ☒ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☐ Annually
- ☒ Other (list below) ON-GOING

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☐ \$1-\$25
- ☒ \$26-\$50

- b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☐ An organization chart showing the PHA's management structure and organization is attached.
- ☒ A brief description of the management structure and organization of the PHA follows: The HAH is headed by an Executive Director, who supervises, directly or indirectly through a division director, about 34 other employees. The work is divided into six "cabinets" or divisions immediately below the Executive Director. Those divisions are (in no particular order): Section 8 Program, Resident Services, Public Housing, Human Resources, Finance and Facilities. Each of these divisions is headed by a Director or Manager and each reports directly to the Executive Director. Of course, as is typical in KY City PHAs, the Executive Director is accountable and reports to a 5 member Board of Commissioners.

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	426	130
Section 8 Vouchers	445	52
Section 8 Certificates	65	35
Section 8 Mod Rehab	N/A	
Special Purpose Section 8 Certificates/Vouchers (list individually)	PHA uses regular voucher and certificates to accomplish "special purposes" as stated above	
Public Housing Drug Elimination Program (PHDEP)	390	100

Other Federal Programs(list individually)		

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below) Admission & Continued Occupancy Policies/Procedures, Dwelling Lease, and policies governing Maintenance, Capitalization of Property, Disposition, Procurement, Investment (of Funds), Pet and Grievance (Procedures).

(2) Section 8 Management: (list below)
Administrative Plan

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☒ Yes ☐ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office
☐ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal

hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☒ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

- ☐ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at Attachment (state name)

-or-

- ☒ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

Annual Statement/Performance and Evaluation Report			
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP)			
PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241-0437		Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:	
<input checked="" type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/ Emergencies	
<input type="checkbox"/> Performance and Evaluation Report for Period Ending:		<input type="checkbox"/> Revised Annual Statement (revision no:)	
		<input type="checkbox"/> Final Performance and Evaluation Report	
Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
1	Total non-CFP Funds		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF)

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241-0437	Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:
----------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------

☒ Original Annual Statement
 ☐ Reserve for Disasters/ Emergencies
 ☐ Revised Annual Statement (revision no:)
☐ Performance and Evaluation Report for Period Ending:
 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
2	1406 Operations	110,000	
3	1408 Management Improvements	63,127	
4	1410 Administration	93,164	
5	1411 Audit	1,000	
6	1415 Liquidated Damages		
7	1430 Fees and Costs	15,000	
8	1440 Site Acquisition		
9	1450 Site Improvement	23,250	
10	1460 Dwelling Structures	463,719	
11	1465.1 Dwelling Equipment—Nonexpendable	25,000	
12	1470 Nondwelling Structures	101,580	
13	1475 Nondwelling Equipment	33,800	
14	1485 Demolition		
15	1490 Replacement Reserve		
16	1492 Moving to Work Demonstration		
17	1495.1 Relocation Costs	2,000	
18	1499 Development Activities		
19	1501 Collateralization or Debt Service		
20	1502 Contingency		
21	Amount of Annual Grant: (sum of lines 2 – 20)	931,640	
22	Amount of line 21 Related to LBP Activities		
23	Amount of line 21 Related to Section 504 compliance		
24	Amount of line 21 Related to Security – Soft Costs		
25	Amount of Line 21 Related to Security – Hard Costs		
26	Amount of line 21 Related to Energy Conservation Measures		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF)

Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241	Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:
-----------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------

Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
11-01	Remove/Replace Canopies/Supports	1460		70,080	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
Pennyrile Homes	Stair Treads/Risers			26,950	
	Painting			15,000	
11-02	Stair Treads/Risers	1460		10,850	
Moore's Ct.	Combustion Air Ducts			5,850	
	Air Conditioning			62,400	
	Painting			12,000	
11-03	Floors	1460	10	24,250	
Eastside Terrace	Inside Sewers		10	15,269	
	Baths/Kitchens		10	19,000	
	Closet Doors		10	15,000	
	Furnace/Wtr Heater		10	16,100	
	Clean/Paint		10	6,500	
	Light Fixtures		10	1,500	
	Hoods/BkSplash		10	500	
	Vinyl Siding		10	1,000	
11-04	Emerg. Alarms/Smoke Detectors	1460	10	7,000	
Pennyrile/	Plumbing		10	15,000	
Riverfront Village	Kitchen/Bath		10	35,100	
	Floors		10	9,000	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
11-04(Cont'd)	Clean/Paint	1460	10	7,000	
	Electrical		10	1,500	
	Hoods/Backsplash		10	500	
	GFCI's		10	1,020	
	Picnic Shelter	1450		8,250	
	Gutters/Downspouts-Comm./Laundry	1470		625	
11-05	Painting/Cleaning	1460		2,000	
Waddell Village	Upgrade Elec. Serv. - Comm. Bldg	1470		955	
11-06	Painting/Cleaning	1460		3,350	
Brooks Village					
11-09	Painting/Cleaning	1460		2,000	
Rozelle Village	Windows			12,000	
11-10	Painting/Cleaning	1460		2,000	
Ira Owens Homes	Furnaces/Air Cond.		20	46,000	
	Windows			12,000	
H.A. Wide	Operating Funds	1406		110,000	
	Resident Education	1408		15,000	
	Fiber Optics			20,000	
	Extraordinary Maintenance			15,127	
	Computer Software			8,000	
	Youth Services			5,000	

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50101 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	

				Original	Revised
HA Wide(Cont'd)	Admin Salaries	1410		93,164	
	Audit	1411		1,000	
	Architectural Services	1430		15,000	
	Cut/Trim Trees	1450		5,000	
	Sidewalks			10,000	
	Roofing	1460		6,000	
	Refrigerators/Ranges	1465.1		25,000	
	Maint/Res.Services Bldg Renovation	1470		100,000	
	Mod Equipment	1475		2,000	
	2 Way Radios			6,000	
	Office Equipment			6,000	
	Vehicles			7,800	
	Computer Updates			12,000	
	Relocation	1495.1		2,000	
	Totals			931,640	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)

Part III: Implementation Schedule

PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program No: KY36P011-50101 Replacement Housing Factor No:				Federal
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	03-03			09-04			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part III: Implementation Schedule

PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program No: KY36P011-50101 Replacement Housing Factor No:				Federally
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)

Part III: Implementation Schedule

PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program No: KY36P01150201 Replacement Housing Factor No:				Fede
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
HA WIDE	03-03			09-04			

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

- a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)
- b. If yes to question a, select one:
- ☐ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment (state name)
- or-
- ☒ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name		HOUSING AUTHORITY OF HOPKINSVILLE		<input checked="" type="checkbox"/> Original 5- <input type="checkbox"/> Revision N
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: 50302 PHA FY: begin July 1, 2002	Work Statement for Year 3 FFY Grant: 50403 PHA FY: begin July 1, 2003	Work Stateme FFY Grant: 50 PHA FY: b Jul

	Annual Statement			
11-01		20,100	130,000	237,
11-02		53,780	0	0
11-03		99,119	99,119	158,
11-04		96,120	76,120	0
11-05		0	169,561	16,
11-06		1,350	113,174	32,
11-09		25,300	47,600	0
11-10		31,871	0	46,
PHA WIDE		583,447	275,513	419,
Total CFP Funds (Est.)		911,087	911,087	911,
Total Replacement Housing Factor Funds				

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages—Work Activities

Activities for Year 1	Activities for Year :__2__ FFY Grant: 50302 PHA FY:begin July 1, 2002			Activities for Year :__2__ FFY Grant: 50302 PHA FY:begin July 1, 2002	
	11-01 PENNYRILE HOMES			11-01 PENNYRILE HOMES	
See					
Annual	Site Grading	2,400		Laundry Facilities	
Statement	Grading,Seeding,Trees	15,200		Comm. Rm. Renovation	
	Walks & Step Repair	2,500			
	TOTAL	20,100		TOTAL	
Activities for Year 1	Activities for Year :__4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year :__4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-01 PENNYRILE HOMES			11-01 PENNYRILE HOMES	
See					
Annual	Air Conditioning	200,000		Ent.Drs,Sec.Drs,Hdw	
Statement	A.C. Platforms	37,500		Bathroom Renovation	
	TOTAL	237,500		TOTAL	
Activities for Year 1	Activities for Year :__2__ FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year :__2__ FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-02 DR. B. O. MOORE COURT			11-02 DR. B. O. MOORE COURT	
See					
Annual	Grade at Bldgs	2,400			
Statement	Rep Dmg Walks	2,500			
	Grind & Paint Handrails	2,000			
	Replace Canopies	26,880			
	Revise Unit Size	20,000			
	TOTAL	53,780		TOTAL	
Activities for Year 1	Activities for Year :__4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year :__4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-02 DR. B. O. MOORE COURT			11-02 DR. B. O. MOORE COURT	
See					
Annual		-0-			
Statement					
	TOTAL	-0-		TOTAL	

Activities for Year 1	Activities for Year : __2__ FFY Grant: 50302 PHA FY: July 1, 2002			Activities for Year : __2__ FFY Grant: 50302 PHA FY: July 1, 2002	
	11-03 EASTSIDE TERRACE			11-03 EASTSIDE TERRACE	
See					
Annual	Floors	24,269		Floors	
Statement	Inside Sewers	15,250		Inside Sewers	
	Baths/Kitchens	19,000		Baths/Kitchens	
	Closet Doors	15,000		Closet Doors	
	Furnace/Water Htr	16,100		Furnace/Water Htr	
	Clean/Paint	6,500		Clean/Paint	
	Light Fixtures	1,500		Light Fixtures	
	Hoods/Backsplash	500		Hoods/Backsplash	
	Vinyl Siding	1,000		Vinyl Siding	
	TOTAL	99,119		TOTAL	

Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-03 EASTSIDE TERRACE			11-03 EASTSIDE TERRACE	
See					
Annual	Air Conditioning	158,800			
Statement					
	TOTAL	158,800		TOTAL	

Activities for Year 1	Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-04 PENNYRILE/RIVER- FRONT VILLAGES			11-04 PENNYRILE/RIVER- FRONT VILLAGES	
See					
Annual	Emer.Alarms/Smk Det.	7,000		Emer.Alarms/Smk Det.	
Statement	Plumbing	15,000		Plumbing	
	Kitchens/Baths	35,100		Kitchens/Baths	
	Floors	9,000		Floors	
	Clean/Paint	7,000		Clean/Paint	
	Electrical	1,500		Electrical	
	Hoods/Backsplash	520		Hoods/Backsplash	
	GFI's	1,000		GFI's	
	Dryvit Recoat	20,000			
	TOTAL	96,120		TOTAL	

Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-04 PENNYRILE/RIVER- FRONT VILLAGES			11-04 PENNYRILE/RIVER- FRONT VILLAGES	

See					
Annual		-0-			
Statement					
	TOTAL	-0-		TOTAL	
Activities for Year 1	Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-05 WADDELL VILLAGE			11-05 WADDELL VILLAGE	
See					
Annual		-0-		Vinyl Siding	
Statement				Furnaces & A.C.	
				Electrical Upgrades	
	TOTAL	-0-		TOTAL	
Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-05 WADDELL VILLAGE			11-05 WADDELL VILLAGE	
See					
Annual	Vinyl Siding	16,891			
Statement					
	TOTAL	16,891		TOTAL	
Activities for Year 1	Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year : __2__ FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-06 BROOKS VILLAGE			11-06 BROOKS VILLAGE	
See					
Annual	Paint Breezeway Ceil.	1,350		Floors	
Statement				Furnaces & A.C.	
	TOTAL	1,350		TOTAL	
Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-06 BROOKS VILLAGE			11-06 BROOKS VILLAGE	
See					
Annual	Ent.Drs,Sec Drs, Hdw	32,360			
Statement					
	TOTAL	32,360		TOTAL	

Activities for Year 1	Activities for Year : __2 FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year : __2 FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-09 ROZELLE VILLAGE			11-09 ROZELLE VILLAGE	
See					
Annual	Windows	22,500		Remove Clothesline	
Statement	Doors	2,800		Furnaces & A.C.	
	TOTAL	25,300		TOTAL	

Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-09 ROZELLE VILLAGE			11-09 ROZELLE VILLAGE	
See					
Annual		-0-			
Statement					
	TOTAL	-0-		TOTAL	

Activities for Year 1	Activities for Year : __2 FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year : __2 FFY Grant: 50302 PHA FY: begin July 1, 2002	
	11-10 IRA OWENS HOMES			11-10 IRA OWENS HOMES	
See					
Annual	Grade at Bldgs	1,371			
Statement	Windows	22,500			
	Doors	8,000			
	TOTAL	31,871		TOTAL	

Activities for Year 1	Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year : __4__ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	11-10 IRA OWENS HOMES			11-10 IRA OWENS HOMES	
See					
Annual	Furnaces & A.C.	46,000			
Statement					
	TOTAL	46,000		TOTAL	

Activities for Year 1	Activities for Year :_2 FFY Grant: 50302 PHA FY: begin July 1, 2002			Activities for Year :_2 FFY Grant: 50302 PHA FY: begin July 1, 2002	
	PHA WIDE			PHA WIDE	
See					
Annual	Operating Funds	110,000		Operating Funds	
Statement	Resident Education	15,000		Resident Education	
	Fiber Optics	20,000		Fiber Optics	
	Extraordinary Maint	15,071		Extraordinary Maint	
	Computer Software	8,000		Computer Software	
	Youth Services	5,000		Youth Services	
	Energy Audit	10,000			
	Payroll, Travel, Etc.	91,108		Payroll, Travel, Etc.	
	Audit	1,000		Audit	
	Architectural Services	15,000		Architectural Services	
	Cut/Trim Trees	10,000		Cut/Trim Trees	
	Sidewalks	5,950		Sidewalks	
	Seal Parking Lots	3,650		Seal Parking Lots	
	Roofing	12,000		Roofing	
	Washer/Dryer Encl	10,000		Washer/Dryer Encl	
	Ranges, Ref, Wtr Htr	25,000		Ranges, Ref, Wtr Htr	
	Maint./Resident Services Bldg Renov	154,668		Maint./Resident Services Bldg Renov	
	Mod Equip.	2,000		Mod Equip.	
	Office Equip.	6,000		Office Equip.	
	Vehicles	12,000		Vehicles	
	Computer Updates	50,000		Computer Updates	
	Relocation	2,000		Relocation	
	TOTAL	583,447		TOTAL	

Activities for Year 1	Activities for Year :_4____ FFY Grant: 50504 PHA FY: begin July 1, 2004			Activities for Year :_4____ FFY Grant: 50504 PHA FY: begin July 1, 2004	
	PHA WIDE			PHA WIDE	
See					
Annual	Operating Funds	110,000		Operating Funds	
Statement	Resident Education	15,000		Resident Education	
	Fiber Optics	10,000		Fiber Optics	
	Extraordinary Maint	15,071		Extraordinary Maint	
	Computer Software	4,609		Computer Software	
	Youth Services	5,000		Youth Services	
	Payroll, Travel, Etc.	91,108		Payroll, Travel, Etc.	
	Audit	1,000		Audit	
	Architectural Services	15,000		Architectural Services	
	Cut/Trim Trees	2,508		Cut/Trim Trees	
	Sidewalks	15,000		Sidewalks	
	Seal Parking Lots			Seal Parking Lots	
	Roofing			Roofing	
	Washer/Dryer Encl			Washer/Dryer Encl	

	Ranges, Ref, Wtr Htr	25,000		Ranges, Ref, Wtr Htr	
	Maint./Resident Services			Maint./Resident	
	Bldg Renov	80,000		Services Bldg Renov	
	Mod Equip.			Mod Equip.	
	Office Equip.	6,000		Office Equip.	
	Vehicles	12,000		Vehicles	
	Computer Updates	10,240		Computer Updates	
	Relocation	2,000		Relocation	
	TOTAL	419,536		TOTAL	

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - ☐ Revitalization Plan under development
 - ☐ Revitalization Plan submitted, pending approval
 - ☐ Revitalization Plan approved
 - ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- ☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of

1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity:

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the

U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected:
7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA’s developments or portions of developments been identified by HUD or the PHA as covered

under section 202 of the HUD FY 1996 HUD Appropriations Act? (If “No”, skip to component 11; if “yes”, complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 11. If “No”, complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name:
1b. Development (project) number:
2. Federal Program authority:
<input type="checkbox"/> HOPE I
<input type="checkbox"/> 5(h)
<input type="checkbox"/> Turnkey III
<input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)

3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

- ☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- ☒ Yes ☐ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? July 1, 2000.

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
- ☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☒ Jointly administer programs
- ☒ Partner to administer a HUD Welfare-to-Work voucher program
- ☒ Joint administration of other demonstration program
- ☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☒ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☒ Preference in admission to section 8 for certain public housing families
- ☒ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
<i>Geared Up to Success</i>	<i>15</i>	<i>Random Sections</i>	<i>PHA & Partners</i>	<i>Both PH & S8</i>

(2) Family Self Sufficiency program/s NOT APPLICABLE to HAH

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8		

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☒ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☒ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☒ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents

- ☒ Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- ☒ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☐ PHA employee reports
- ☒ Police reports
- ☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

Moore Court, KY 11-02 and Eastside Terrace, KY 11-03

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☒ Other (describe below)
HAH has contracted with the City Police Department for COPs services beyond baseline to help reduce drug-related crimes and activities.

2. Which developments are most affected? (list below)

Our PHDEP has targeted Pennyryle Homes (KY 11-01), Moore Court (KY 11-02), Eastside Terrace (KY 11-03), Waddell Village (KY 11-05) and Brooks Village (KY 11-006). Those are the ones with the highest resident population and possibility/probability of having problems.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☐ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents

- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

See 13. B. 2 above (as they are the same developments/projects.)

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☒ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- ☒ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: KY011a01)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

PHA has two separate pet policies. One policy is the existing policy for pets owned by persons occupying elderly complexes/buildings. And the second policy is new for the coming year, covering all other general occupancy developments and buildings.

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?
4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - ☐ Not applicable
 - ☐ Private management
 - ☐ Development-based accounting
 - ☐ Comprehensive stock assessment
 - ☒ Other: (list below) PHA owns ONE (1) private home, purchased in years past with Section 8 reserve funds, which is leased (under a purchase option agreement) to a qualified low-income family.
3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - ☐ Attached at Attachment (File name)
 - ☒ Provided below: As a group, those residents who met with the PHA and its Agency Plans consultant on November 30, 2000, had mixed feelings about the Pet Policy in family developments. The majority felt that such a policy, although required now by HUD, would be harmful to the average resident, due to costs and likely damage/disturbances caused by such pets. They were supportive of the Housing Authority's capital improvements program, although some wondered why certain projects got central air conditioning added before the project they lived in. Some family project occupants did not feel "secure" in allowing their young children to play alone in the yards near their apartments. One resident said that there was, sometimes, too much noise during

the summer months with the young folks playing their radios near others residents units. NONE expressed any fear of “drug” activities or dealings.

3. In what manner did the PHA address those comments? (select all that apply)

- ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
- ☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:
- ☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

- 1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
- 2. ☒ Yes ☐ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub component C.) And the individual was subsequently appointed by the Mayor of Hopkinsville. The Resident Board of Commissioner is Rose Kershaw, who resides at unit 42A of Pennyryle Village (KY 11-4).

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☒ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

b. Eligible candidates: (select one)

- ☒ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations

- ☒ Other (list) Nomination were considered and the “recommended” board member was provided by the Council of Resident Organizations of the PHA.

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) The City of Hopkinsville (City Plan).

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☐ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
Activities planned by the HAH during this Agency Plans’ year will allow eligible low-income applicants and residents to reside in areas of improved physical environments. Additionally, many interested residents will receive expanded economic opportunities through training programs and employment contacts sponsored/coordinated and/or provided directly by the HAH.

☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

The goals of the City of Hopkinsville under its Consolidated Plan are:

- a. Provide decent housing.
- b. Provide a suitable living environment.
- c. Expand economic opportunities.

All of these goals are also goals and objective of the HAH and mesh with its Five-Year and Annual Agency Plan.

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

A. THE RESIDENT ADVISORY BOARD, as of November 30, 2000, consisted of the following members: Angie Griffin, Dasie Jackson, Patricia Berry, Kim Darden,

Mamie Hopkins. Barbara Stevens, Martha Rogers, Beth West, Doris Edwards and Chris Govan.

B. DEFINITIONS of Substantial Deviation and Significant Amendment or Modification

1. The HAH has chosen the following as its definition of Substantial Deviation from its Annual Plan:

- a.) Redirection of more than 20% of its operating budget funds from any budgeted/scheduled activity to another activity or:
- b.) A major change in program direction (e.g., new or different housing selection preference criterion, new or changes to deductions from income-based rents, efforts to enhance deconcentration opportunities, changes in the basis of determining the Section 8 Payments Standard and/or Ceiling/Flat rent amounts, etc.) that requires action on the part of the Board of Commissioners; or
- c.) Increasing or decreasing the number of HAH employees by more than 10% from that authorized on the July 1st of each fiscal year.

However, NONE of these changes will be considered a Substantial Deviation IF those changes result from Government (i.e., Federal, State, or Local) actions over which the HAH exercises no control.

2. The HAH has chosen to continue to use the HUD definition of Significant Amendment or Modification. Specifically, it will consider the following to be such modifications/amendments:

- a.) Changes to rent or admission policies or organization of its waiting list.
- b.) Additions of non-emergency work items (not included in the current Annual Statement or 5-Year Action Plan) or change in use of the replacement reserve funds under the Capital Grant Funds Program.
- c.) Additions of new activities not included in the current PHDEP; and
- d.) Any change with regard to demolition or disposition, designation of projects/buildings (for the elderly/disabled or families with disabilities), homeownership programs or conversion activities.

However, NONE of these changes will be considered Substantial Amendments/Modifications IF those changes result from Government (i.e., Federal, State, of Local) actions over which the HAH exercises no control.

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFPRHF)

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241-0437	Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:
----------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------

☐ Original Annual Statement
☐ Reserve for Disasters/ Emergencies
☐ Revised Annual Statement (revision no:)
☒ Performance and Evaluation Report for Period Ending: 12/31/00
☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
1	Total non-CFP Funds		
2	1406 Operations	96,264	96,264
3	1408 Management Improvements	49,910	34,871
4	1410 Administration	96,200	96,200
5	1411 Audit	1,000	1,000
6	1415 Liquidated Damages		
7	1430 Fees and Costs	13,500	12,687
8	1440 Site Acquisition		
9	1450 Site Improvement	39,357	45,906
10	1460 Dwelling Structures	346,555	372,381
11	1465.1 Dwelling Equipment—nonexpendable	22,500	22,500
12	1470 Nondwelling Structures	261,033	261,033
13	1475 Nondwelling Equipment	34,562	18,039
14	1485 Demolition		
15	1490 Replacement Reserve		
16	1492 Moving to Work Demonstration		
17	1495.1 Relocation Costs	1,760	1,760
18	1499 Development Activities		
19	1501 Collateralization or Debt Service		
20	1502 Contingency		
21	Amount of Annual Grant: (sum of lines 2 – 20)	962,641	962,641
22	Amount of line 21 Related to LBP Activities		
23	Amount of line 21 Related to Section 504 compliance		
24	Amount of line 21 Related to Security – Soft Costs		
25	Amount of Line 21 Related to Security – Hard Costs		
26	Amount of line 21 Related to Energy Conservation Measures		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)

Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost

				Original	Revised
11-01	Revise Unit Size	1460	2	40,000	40,000
Pennyrile Homes	Clean & Paint			7,600	24,051
11-02	Revise Unit Size	1460	2	20,000	0
Moore's Ct.	Clean & Paint			5,000	9,383
11-03	Floors	1460	10	24,269	6,759
Eastside Terrace	Inside Sewers		10	15,250	15,250
	Baths/Kitchens		10	19,000	17,000
	Closet Doors		10	15,000	13,119
	Furnace/Wtr Heater		10	16,100	16,100
	Clean/Paint		10	6,500	6,500
	Light Fixtures		10	1,500	4,010
	Hoods/Bksplash		10	500	500
	Vinyl Siding		10	1,000	1,000
	Electrical Grounding - Emergency			0	23,600
11-04	Site Amenities	1450		6,202	8,548
Pennyrile/River-	Emerg. Alarms/Smoke Detectors	1460	10	7,000	7,000
Front Villages	Plumbing		10	15,000	10,000
	Kitchen/Bath		10	35,100	33,100
	Floors		10	9,000	9,800
	Clean/Paint		10	7,000	4,000
	Electrical		10	1,500	1,500
	Hoods/Bksplash		10	520	520
	GFCI's		10	1,000	1,000

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages					
PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
11-04(Cont'd)	Dryvit Recoat	1460	14	16,216	0
11-05	Clean/Paint	1460		6,000	10,557
Waddell Village	Floors			3,000	1,029
11-06	Clean/Paint	1460		5,000	5,158

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages					
PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
Brooks Village	Floors			3,000	2,224
11-09	Playground	1450		14,880	14,880
Rozelle Village	Clean/Paint	1460		5,000	5,766
	Doors			12,500	12,500
	Windows			0	33,314
11-10	Clean/Paint	1460		5,000	5,006
Ira Owens Homes	Doors			28,000	19,321
	Windows			0	33,314
HA Wide	Operating Funds	1406		96,264	96,264
	Resident Education	1408		16,500	16,296
	Fiber Optics			20,000	6,192
	Extraordinary Maintenance			13,410	12,383
	Admin Salaries, Travel	1410		96,200	96,200
	Audit	1411		1,000	1,000

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages					
PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
HA Wide(Cont'd)	Architectural Services	1430		11,000	11,000
	Legal Services			2,500	1,687
	Sidewalks	1450		8,275	17,182

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages					
PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241			Grant Type and Number Capital Fund Program Grant No: KY36P011-70799 Replacement Housing Factor Grant No:		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
	Cut/Trim Trees			5,000	3,517
	Seal Parking Lots			5,000	1,779
	Roofing	1460		10,000	0
	Washer/Dryer Encl			5,000	0
	Refrigerators/Ranges/Water Heaters	1465.1		22,500	22,500
	Maint/Res.Services Bldg Renovations	1470		261,033	261,033
	Mowing Equipment	1475		10,712	10,712
	Mod Equipment			500	130
	Computer Updates			6,000	0
	Office Equipment			6,000	1,797
	Vehicles			11,350	5,400
	Relocation	1495.1		1,760	1,760
	Totals			962,641	962,641

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule							
PHA Name: Housing Authority of Hopkinsville			Grant Type and Number Capital Fund Program No: KY36P011-70799 Replacement Housing Factor No:				Fed
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
11-01	03-01		12-00	09-01	09-02		
11-02	03-01		12-00	09-01	09-01	12-00	
11-03	03-01		12-00	09-01	09-01		

[illegible]

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF)

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241-0437	Grant Type and Number Capital Fund Program Grant No: KY36P011-50100 Replacement Housing Factor Grant No:
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☐ Original Annual Statement
 ☐ Reserve for Disasters/ Emergencies
 ☐ Revised Annual Statement (revision no:)
 ☒ Performance and Evaluation Report for Period Ending: 12/31/00
 ☐ Final Performance and Evaluation Report

Line No.	Summary by Development Account	Total Estimated Cost	
		Original	Revised
1	Total non-CFP Funds		
2	1406 Operations	110,000	110,000
3	1408 Management Improvements	63,071	63,071
4	1410 Administration	91,109	91,109
5	1411 Audit	1,000	1,000
6	1415 Liquidated Damages		
7	1430 Fees and Costs	15,000	15,000
8	1440 Site Acquisition	23,000	5,000
9	1450 Site Improvement	41,800	26,800
10	1460 Dwelling Structures	387,107	410,107
11	1465.1 Dwelling Equipment—Nonexpendable	25,000	25,000
12	1470 Nondwelling Structures	100,000	100,000
13	475 Nondwelling Equipment	52,000	52,000
14	1485 Demolition		10,000
15	1490 Replacement Reserve		
16	1492 Moving to Work Demonstration		
17	1495.1 Relocation Costs	2,000	2,000
18	1499 Development Activities		
19	1501 Collateralization or Debt Service		
20	1502 Contingency		
21	Amount of Annual Grant: (sum of lines 2 – 20)	911,087	911,087
22	Amount of line 21 Related to LBP Activities		
23	Amount of line 21 Related to Section 504 compliance		
24	Amount of line 21 Related to Security – Soft Costs		
25	Amount of Line 21 Related to Security – Hard Costs		
26	Amount of line 21 Related to Energy Conservation Measures		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50100 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
11-01	Site Acquisition	1440	1	23,000	5,000
Pennyrile Homes	Unit Conversion	1460	7	135,000	135,000
11-02	Demolition	1485	1	10,000	10,000
Moore's Ct.	Unit Conversion	1460	3	45,000	45,000
11-03	Floors	1460	10	24,250	24,250
Eastside Terrace	Inside Sewers		10	15,250	15,250
	Baths/Kitchens		10	19,000	19,000
	Closet Doors		10	15,000	15,000
	Furnace/Wtr Heater		10	16,100	16,100
	Clean/Paint		10	6,500	6,500
	Light Fixtures		10	1,500	1,500
	Hoods/Backsplash		10	500	500
	Vinyl Siding		10	1,000	1,000
11-04	Emerg.Alarms/Smoke Detectors	1460	10	7,000	7,000
	Plumbing		10	15,000	15,000
	Kitchen/Bath		10	35,100	35,100
	Floors		10	9,000	9,000
	Clean/Paint		10	7,000	7,000
	Electrical		10	1,500	1,500

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50100 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	

				O riginal	Revised
11-04(Cont'd)	Hoods/Backsplash	1460	10	500	500
	GFCI'S		10	1,000	1,000
	Paint Metal Facia		14	1,800	1,800
	Convert 0 BR's to 1 BR's		52	15,600	15,600
11-05	Picnic Area	1450	1	5,600	5,600
Waddell Village					
11-06	Picnic Area	1450	2	11,200	11,200
Brooks Village					
11-09	Doors	1460			4,200
Rozelle Village					
11-10	Doors	1460			18,800
Ira Owens Homes					
HA Wide	Operating Funds	1406		110,000	110,000
	Resident Education	1408		15,000	15,000
	Fiber Optics			20,000	20,000
	Extraordinary Maint.			15,071	15,071
	Computer Software			8,000	8,000
	Youth Services			5,000	5,000

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CF)

Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50100 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
H.A.Wide(Cont'd)	Admin.Salaries	1410		91,109	91,109
	Audit	1411		1,000	1,000
	Architectural Services	1430		15,000	15,000

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part II: Supporting Pages

PHA Name: Housing Authority of Hopkinsville P. O. Box 437 Hopkinsville, KY 42241		Grant Type and Number Capital Fund Program Grant No: KY36P011-50100 Replacement Housing Factor Grant No:			
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost	
				Original	Revised
	Cut/Trim Trees	1450		10,000	5,000
	Seal Parking Lot			5,000	5,000
	Roofing	1460		12,000	12,000
	Painting			2,507	2,507
	Refrigerators/Ranges	1465.1		25,000	25,000
	Maint/Res.Service Bldg Renovation	1470		100,000	100,000
	Mod Equipment	1475		2,000	2,000
	Office Equipment			6,000	6,000
	Vehicles			32,000	32,000
	Computer Updates			12,000	12,000
	Relocation	1495.1		2,000	2,000
	Totals			911,087	911,087

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFR)
Part III: Implementation Schedule

PHA Name: Housing Authority of HOpkinsville		Grant Type and Number Capital Fund Program No: KY36P011-50100 Replacement Housing Factor No:					Federal
Development Number Name/HA-Wide Activities	All Fund Obligated (Quarter Ending Date)			All Funds Expended (Quarter Ending Date)			
	Original	Revised	Actual	Original	Revised	Actual	
HA Wide	03-02			09-03			

[illegible]

Public Housing Drug Elimination Program Plan

Housing Authority of Hopkinsville for FFY2001 (FY begin July 1, 2001)

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$105,213.

B. Eligibility type (Indicate with an "x") N1_____ N2_____ R X_____

C. FFY in which funding is requested 2001

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long.

The Housing Authority of Hopkinsville's Public Housing Drug Elimination Program uses a comprehensive Community Oriented Policing (COP) Program to reduce drug-related crime. Our Prevention Program is designed to educate and offer alternatives to drug use for the children, youth and adults residing in public housing. These grant funds pay for a COP officer and for children's, youth and adult prevention programs.

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
Pennyrile Homes, KY 11-01	134	317
Moore Ct., KY 11-02 & Eastside Terrace, KY 11-03	126	282
Waddell Village, KY 11-05 & Brooks Village, KY 11-06	79	187

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months_____ 12 Months_____ 18 Months_____ 24 Months X_____ Other _____

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1995	None (-0-)	N/A	N/A	N/A	N/A
FY 1996	\$237,000.	KY36DEP0110196	None	N/A	N/A
FY 1997	\$137,700.	KY36DEP0110197	None	N/A	N/A
FY 1998	\$137,700.	KY36DEP0110198	None	N/A	N/A
FY 1999	\$100,953.	KY36DEP0110199	\$ 64,459.	N/A	12-31-01
FY 2000	\$105,213.	KY36DEP0110100	\$105,213.	N/A	12-31-02

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY 2001 PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	\$ 37,559.
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	\$ 75,213.
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	
TOTAL PHDEP FUNDING	\$112,772.

PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$37,559.		
Goal(s)	Reduce drug-related crime by 5%						
Objectives	Saturation patrols and involvement/interaction with residents						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount/ Source)	Performance Indicators
1. Community Oriented Policing			Dec 2001	Dec 2003	\$37,559.	\$77,066.	Implementation
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$75,213.		
Goal(s)	Increasing attendance in all programs by 5% (also continue to educate on the ills of drug use.)						
Objectives	Provide alternatives to drugs & related crimes by offering educational and recreational programs.						
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1. PRIDE	118	Ages 7-18	Dec 2001	Dec 2003	\$39,904.	\$19,365.	Implementation
2. YMCA	117	Ages 6-11	Dec 2001	Dec 2003	\$25,355.	\$66,900.	Implementation
3. Welfare to Work	45	Adults	Dec 2001	Dec 2003	\$ 9,954.	\$77,132.	Implementation

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110	Activities 1	\$ 7,500.	Activity 1	\$ 15,000.
9120				
9130				
9140				
9150				
9160	Activities 1, 2 & 3	\$ 18,803.	Activity 1, 2, & 3	\$ 37,607.
9170				
9180				
9190				
TOTAL		\$ 26,303.		\$ 52,607.

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”